This project has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No. 723201

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<td>Deadline for approval</td>
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Introduction

1.1 The CoEXist project

The mission of the CoEXist project is to systematically increase the capacity of local authorities and other urban mobility stakeholders to get ready for the transition towards a shared road network with increasing levels of connected and automated vehicles (CAVs), both in terms of vehicle penetration rates and levels of automation (SAE International, 2016) using the same road network as conventional vehicles (CVs). The overall outcome of the project is to enable local authorities to confidently proclaim that they are “automation-ready”. The concept of “automation-readiness” should not be misunderstood as an endorsement of the disruptive technologies surrounding CAVs and their impacts, but rather an empowerment of local authorities to critically review the anticipated technological changes and shape the future according to their expectations. Hence the concept of “automation-readiness” is defined as:

“The capability of making structured and informed decisions about the comprehensive deployment of CAVs in a mixed road environment. This capability requires:

- A clear awareness of the technology underpinning CAVs, the different functional uses and business models for CAVs and a high-level understanding of the impacts different deployment scenarios can have on traffic, quality of life and stakeholders involved in local transport planning.
- The institutional capacity to plan for a future with CAVs by using tools that accurately represent CAV behaviour in order to identify the impacts of different CAV deployment scenarios.
- A strategic approach in planning a wide range of measures that will ensure a deployment of CAVs, which supports higher level mobility goals.”

The promises of CAVs to improve traffic and space efficiency, enhance safety and improve mobility for all will only be fulfilled when local authorities have the capability to shape the deployment of CAVs to their needs. Without this capability, CAVs will certainly worsen the urban mobility problems that local authorities are currently facing.

CoEXist has three main outputs that will increase the capability of local authorities to become “automation-ready”:

1. Automation-ready transport modelling: A validated extension of existing microscopic traffic flow simulation and macroscopic transport modelling tools that can represent various types of CAV driving logics.
2. Automation-ready road infrastructure: An impact assessment methodology that can assess the impact of CAVs on traffic efficiency, safety and space efficiency and support the development of design recommendations for automation-ready infrastructure.
3. Automation-ready road authorities: The demonstration of the above tools in four European local authorities to develop concrete automation-ready infrastructure and policy actions plans.

The automation-ready framework is the core project deliverable that combines all the different project activities in one comprehensive report. A second version of the deliverable will be released in April 2020, which will include additional automation-ready measures.
1.2 Certainty in uncertain times – the need for an automation-ready framework

After the initial technological euphoria that predicted the deployment of CAVs by the end of the decade, most vehicle manufacturers and transportation network companies had to readjust the dates they are planning to introduce fully automated vehicles that can operate in all or most operational design domains. Some vehicle manufacturers have even started questioning whether fully automated vehicles are actually possible and it became widely accepted that automated vehicles will be relying on extensive connected services to even operate in lower levels of automation. The ERTRAC Automated Driving Roadmap\(^1\) provides a comprehensive overview of the different automated functionalities of CAVs and their expected market introduction. The CARTRE project provides an extensive library\(^2\) of the latest developments in the fast-changing field of connected and automated driving. Even though the deployment of CAVs is not as fast as some anticipated, it is clear that more and more vehicles with automated functionalities are being deployed and that enabling technologies, such as 5G and ITS G5, will significantly increase the ability of CAVs to handle more complex operational design domains. The initial fear that cities will be overrun by this technology (luckily) has not not materialised, yet, but this is not a justification for local authorities to continue with a “wait and see approach”. The time should be wisely used to better prepare for the deployment of CAVs – which will certainly come.

The deployment of CAVs will have significant impacts on most transport and urban planning related activities of a city. The Polis policy paper on "Road Vehicle Automation and Cities and Regions"\(^3\) provides a structured overview of the most pressing potential impacts resulting from the deployment of CAVs. The paper focuses on the potential impacts on road safety, traffic efficiency, infrastructure, socio-economic aspects, travel behaviour and spatial planning. There is a high degree of uncertainty surrounding the exact impacts as nobody, at this stage, can really predict how the technology will be used and whether the positive aspects will outweigh the negative ones. Many local authorities are overwhelmed by the sheer scale of the uncertainties surrounding the deployment of CAVs, which results in a dangerous form of inertia. Local authorities need to take a leading role in dealing with the uncertainties in a structured way to break out of this inertia.

To ensure the roll out of CAVs is in line with sustainable urban mobility goals, local authorities will have to play a key role and should take the lead with proactive planning approaches. This begins with planning for the introduction of CAVs as early as possible, to minimise the potential negative impacts and more importantly make the most of the opportunity to influence the paradigm shift into a more sustainable urban mobility vision. The UITP Policy Brief on "Autonomous vehicles: a potential game changer"\(^4\) clearly sets out that cities need to now foster a culture of sharing to avoid single occupancy or empty CAVs in city centres in the future. An uncontrolled deployment of CAVs in cities could lead to

\(^{1}\) ERTRAC (2017) Automated Driving Roadmap
\(^{2}\) https://connectedautomateddriving.eu/library/
\(^{3}\) Polis (2018) Road Vehicle Automation and Cities and Regions.
\(^{4}\) UITP (2017) Autonomous vehicles: a potential game changer

Commented [SH1]: This is an overstatement.
conflicts between CAV users and non-users due to opposing transport planning needs of liveable versus CAV-friendly cities.

1.2.1 Mapping out uncertainties

Through a European-wide stakeholder process the CoEXist project conducted an exercise to map out the most common uncertainties that European local authorities currently are facing. The uncertainties can be structured along three main headings:

How to create awareness about CAVs?
- What is a CAV? How does it behave? What functionalities can it offer?
- What do my citizens feel about the technology?
- Which stakeholders need to be consulted?
- How to create awareness within the transport authority?
- How to develop scenarios that represent the potential impacts of CAVs?

How to plan for CAVs?
- How to integrate CAVs into an overall mobility vision?
- How do CAVs align with mobility goals in a city?
- How to integrate CAVs into a strategic transport plan?
- What tools to use to test the scenarios and assess the impact of CAVs?

Implementing automation-ready measures?
- When and how should the organisational structure of my organisation be adjusted?
- When and how to change public transport operations?
- When and how to change the digital and physical infrastructure?

The automation-ready framework aims to reduce these uncertainties through a structured approach.

1.2.2 Planning principles that don’t change - even in uncertain times

Even with a lot of uncertainties, the core planning principles should not be changed:

- Good Planning is key: the success of the transition towards higher penetration levels of CAVs will largely be determined by integrating them into existing sustainable urban mobility planning processes (i.e. SUMPs). However, today there are hardly any strategic transport plans in Europe that properly address the technology and the resulting impacts.
- A participatory approach is key to ensure that CAVs are being deployed to the benefit of all and not the few. Not one single actor is able to find the answers to all these complex issues.
- Shared vehicles are key. High capacity public transport still needs to be the backbone of a functioning transport system. Since privately owned CAVs are expected to lead to an increase in vehicle kilometres travelled, the role of public, collective and shared transport needs to be secured in the advent of automation connected MaaS services. Local authorities are in the position to establish a culture of sharing early.
By reducing uncertainties and sticking to the core planning principles, local authorities can take a leading role again rather than merely being observers of the technological developments. The SUMP2.0 practitioners briefing on automation is currently being developed to specifically look into the challenges of planning for automated vehicles.

1.3 Objectives of the Automation-ready framework

“The first step is always the hardest” – this widely used proverb illustrates well the situation many local authorities find themselves in. They are unsure about what the first step towards automation-readiness could be and are careful about not making any mistakes. The result is that that many local authorities are doing very little or nothing and are therefore losing precious time to prepare.

Against this background and in view of the stated uncertainties, the objective of the automation-ready framework is as follows:

“To support local authorities in reducing uncertainties and building up the capability to make structured and informed decisions about the comprehensive deployment of CAVs.”

This will be achieved by presenting a set of measures implemented in three phases, which can guide local authorities in becoming automation-ready.

1.4 Three Phases of Automation-Readiness

The automation-ready framework is organised in three phases. The different stages do not correspond to a time period as different cities may be in a different phase depending on local circumstances. The phases can be overlapping, parallel and interlinked. The automation-ready framework aims to reduce uncertainty as cities go through each of the phases.

For each phase, a set of measures are recommended to facilitate the reduction of uncertainties and to ensure a smooth transition into the sustainable deployment of CAVs in cities. Also, the technological scope of the framework aims to provide recommendations that are applicable to different European cities, which will experience a wide range of CAV deployment due to unique local circumstances with regard to the mode share between privately, shared or collective CAVs.

1.4.1 Automation Awareness Creation

The first phase involves becoming aware of the technological advancements and capabilities of connected and automated vehicles (and the associated features) and understanding the opinions, needs and concerns of the citizens at an early stage. The key here is to develop an awareness of what the deployment of automated vehicles and resulting impacts means from a local authority perspective.

Automation awareness measures are not expensive and do not depend on the technological development. The aim is to be able to make city authorities as well as the citizens aware of the possible changes that can take place within their transport systems and forms of mobility. Measures at this stage are the most certain, as they can already be implemented regardless of the situation a city is facing now. Awareness also gives a hint about the type of policies that need to be developed to protect and ensure...
the continued support for currently existing sustainable modes (i.e. public transport and active modes) and potential changes in organisational structures.

1.4.2 Automation Strategy Formulation / Planning for automation-readiness

After developing a sense of awareness about the technology, gathering the opinion of citizens and building the required skills for a structured deployment of CAVs, the next stage is for the city to include CAVs in their planning processes. Among others this includes: setting a vision and identifying goals through stakeholder engagement processes and applying a set of tools to assess deployment scenarios. This stage initiates the path to proactive planning by identifying measures that support the integration of new forms of mobility and technology to improve the overall transport planning processes in a city. The city should be able to develop a flexible planning strategy for automation-readiness in order to allow necessary modifications along the process.

1.4.3 Implementation of Automation-Ready Measures

With the plans for automation-readiness set in the previous phase, the city then needs to start considering the first steps to prepare for the implementation of measures that have been identified in the plans. The measures introduced within this stage many uncertainties as these will highly depend on many complex developments that will take place over the coming years. Hence, it is not recommended that the measures mentioned within this phase to be implemented at this point in time and without completing several measures from the previous phases. Measures in this stage can only be implemented after conducting detailed planning processes, incl. modelling and impact assessment and conducting several pilots with CAVs.

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This project has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No. 723201

Figure 1: Overview of three phases towards automation-readiness with examples of measures

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<tr>
<th>Mobility Aspect</th>
<th>Automation Awareness</th>
<th>Planning for Automation Readiness</th>
<th>Implementation of Automation Ready Measures</th>
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<td>Policy</td>
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<td>Reassessment of strategic</td>
<td>Mobility pricing for “SPAM” roaming cars</td>
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<td>Usability as top</td>
<td>mobility plans, incorporating</td>
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<td>between people</td>
<td>digital infrastructure</td>
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<td>automation friendly?</td>
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<td>Planning</td>
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<td>Update travel demand models and</td>
<td>Assessment of required land use changes</td>
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<td>citizens &amp; support</td>
<td>evaluate road capacity needs</td>
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<td>testing activities</td>
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<td>and research</td>
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<td>Capacity</td>
<td>Try out level 1 &amp; 2</td>
<td>Identify new skill requirements –</td>
<td>Organisational restructuring for traffic</td>
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<td>Building</td>
<td>functionalities</td>
<td>“less concrete more bytes”</td>
<td>management and public transport operations</td>
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<td>Traffic</td>
<td>Road authorities need</td>
<td>Back office for data exchange in</td>
<td>Defining data management responsibility</td>
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<td>Management</td>
<td>to engage with OEMs</td>
<td>traffic management</td>
<td>with new management schemes</td>
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1.5 Try it out and gain experience

1.5.1 Experience automated driver assistance systems - Rent a Level 2 AV and try out the different ADAS systems that exist today.

Today, automation already exists in some vehicles up to a certain level. Some of these functionalities or advanced driver assistance systems (ADAS) include: adaptive cruise control (ACC), lane keep assist or a congestion chauffeur which automates the driving function during a stop-and-go situation. When new features are launched in a vehicle or any technology, it is difficult to get a grasp of its benefits unless the users try them out firsthand. However, often city employees have limited practical experience with modern ADAS systems that are more and more common in mid-range vehicles. They should try it out to learn about these level 1 and 2 functionalities. Thus, renting a Level 2 AV will help understand the potential benefits the technology has to offer to the user in terms of comfort and safety.

For higher levels, pilot site visits could be organised. For example, testing of AV shuttles is taking place in many locations around the globe. Bloomberg Philanthropies provides on their ‘AVs in Cities’ website an overview of most of the initiatives that are being carried out worldwide. Visiting the pilot sites will give you a feel of the different types of service or a modified version of it that could potentially also work in your city.

**Benefits:** To better understand and appreciate the benefits brought by the technology and its potential benefits. Engaging in dialogues with cities and organisations that have already conducted pilots is a great way of learning from them and understanding the potential of AV in an urban mobility context, e.g. for transit or first and last mile services.

**Challenges:** Raising or getting funding for renting AVs and site visits. AVs with higher levels of automation normally require special authorisation before testing.

1.5.2 The dress rehearsal - start your own pilot that you think will fit best into your transport system.

Many cities worldwide who have an edge in mobility look into conducting pilots of different technologies in their cities, e.g. cooperative intelligent transport systems (C-ITS), autonomous shuttles/last mile services such as in the CityMobil2 project as part of the public transport system. Initiatives are increasing globally as most cities see the benefit in upscaling AV technology, which opens up other innovation opportunities.

However, before conducting a pilot, other measures from this early stage should be considered in advance as well. Pilots should be the result of a scenario building process including stakeholder engagement and assessing benefits of pilots. Furthermore, training is needed to ensure safe operations. Among the various lessons learned, CityMobil2 recommends adequate training of the operators of the system. The involvement of the automated road transport system (ARTS) manufacturer is essential to train the operators and providing maintenance services. Service operations also concern the physical...
and digital infrastructure, and therefore include road-side sensors, communication networks, management centres, etc. A city should designate a project coordinator who can in turn provide all these systems or subcontract them to specialised providers. However, the designated project coordinator should be responsible to the city for the system operation and its performance.

**Benefits:** Pilots can demonstrate the practicality of a certain technology within the context of urban mobility. If a pilot project seems to be projecting benefits to the users, it increases the acceptability to integrate AVs into the (public) transport system.

**Challenges:** Securing funding for the pilots could be a difficult task depending on the economic conditions of the city. Ensure adequate training and maintenance work to avoid lengthy downtimes of the pilot and risk a poor image of the pilot technology.

### CityMobil2 Pilot

Pilots in Sardinia, Italy, led by the CityMobil2 project, tested automated vehicles in real-life urban environments. Two driverless buses, carrying up to 12 passengers each, have been piloted on a busy pedestrianised seafront promenade in Oristano. The route was about 1.3 km long and had seven stops. Passengers were allowed to travel for free but had to register first; minors were allowed on board, but only if the registration was signed by an adult. The pilot was organised in partnership with the Municipality of Oristano, the Regional public transport operator ARST, and the transport planning consultancy Company MLAb.

(Previous: citymobil2.eu)

1.6 Raise awareness and create dialogue

1.6.1 Launching a public attitudes survey

This is essential to understand the needs of the citizens and to develop plans by involving them in the process. Citizen participation helps to understand the needs of the future users of the system and to cater to them, as this also gives an opportunity for the municipality to understand how mobility services can be improved. And in particular, how can connected and automated vehicles be of help in the future transport systems by improving accessibility and equity of services. Having provided a platform for citizens to be heard increases acceptability and furthermore, the perception of users gives an insight on understanding the possible threats (e.g. reduction in the value of travel time, since it can actually be a productive time and increase comfort of CAV usage) and enhances proactive mitigation strategies (e.g. pricing schemes) by engaging citizens as part of developing a common solution. An example of this is the UK Autodrive project that conducted a public attitude survey (see case study below).

Benefits: Democratic legitimation of mobility planning involving AVs. Early warning procedure to integrate both user needs and threats in automation-ready planning.

Challenges: Formulation of a survey and communication of results in a comprehensible manner in order to bring such a complex and technological topic across to the general public.
Involving Citizens

A key to developing novel solutions to tackle mobility challenges in cities is to gain the acceptance of the general public. Hence, involvement of citizens is vital to enhance take up. A good practice example is the UK Autodrive project’s public attitudes survey. As part of the project, the University of Cambridge carried out a national survey of public attitudes towards self-driving vehicles (SDVs). The survey was conducted in October-November 2016, comprising 49 questions, and gathered 3000 responses. The results of this survey were used as basis for a deeper exploration of public attitudes through local focus groups.

Figure 1: Preferred means of booking to access shared public transport systems


1.6.2 Engage new stakeholders

Planning for AVs and reducing uncertainties requires the involvement of actors that are not traditionally part of mobility planning. Municipalities alone cannot solve mobility challenges and thus need to collaborate with mobility service and technology providers from the private sector. Engaging with OEMs, technology companies, and new mobility service providers is an important aspect in co-creating solutions that benefit all stakeholders: businesses, government, operators, and people. This also helps in developing a common vision between often conflicting objectives of different organisations in planning for the future of mobility in cities. Cities and authorities will get a chance to have a better understanding for the implementation of the right policies and regulations to support innovation and restrict unfair competition. Examples for platforms bringing together stakeholders from different areas are Antwerp’s
Marketplace for Mobility\(^7\), Gothenburg’s DriveME\(^8\) or – on a higher level - the German platform for urban mobility\(^9\) which involves cities and OEMs for developing jointly mobility solutions for the future. However, besides the involvement of cities and industry partners, the participation of civil society groups is important to increase acceptability and help co-create solutions that are user-centric. In addition, bringing together different stakeholders’ knowledge will foster innovation development in terms of the application of new technologies and opening up new markets for building win-win-situations for the involved stakeholders.

**Benefits:** Associating new actors means that the prospects of the successful implementation of new mobility services with connected and automated vehicles will increase and uncertainties will be reduced by bringing in knowledge and needs from different perspectives. This dialogue can also be a good basis for innovation development and for the formulation of the right policies and regulations to foster innovation (see also below measure 1.8) and reach positive impacts of urban mobility solutions with AVs.

**Challenges:** Getting the right mix of people and stakeholders to have a balanced discussion and solution development process. Creating a dialogue with new actors and getting useful results may be a long-term process.

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\(^9\) [https://www.plattform-urbane-mobilitaet.de/][9] (in German)
1.7 Initiate innovation

1.7.1 Foster innovation through open innovation and data approaches including policies that support and encourage open data exchange and sharing

Today, innovations for sustainable urban mobility solutions are more often based on data (and linked information and knowledge) than on concrete or physical infrastructure. Planning of sustainable urban mobility, in particular in a data-heavy environment of AV-based solutions, needs to be aligned and should keep up with technological advancements to be able to effectively and proactively plan for future technological changes that impact mobility in cities. In this era of data and digitization, there are many innovative solutions that help in optimizing the usage of infrastructure and decrease the need for investments in physical infrastructure.

A precondition for such (open) data-based innovations around MAAS concepts with CAVs is the strategy for data exchange and sharing to exploit the full innovation potential, also by third parties or independent...
developers. Strategies should provide policies and rules to have standards and regulations regarding sharable formats for open data and publicizing the availability of data.

An example for an open-data based innovation process are hackathons which become more and more popular for developing innovations based on data by third parties. A hackathon is an event in which computer programmers and others involved in software development, including graphic designers, interface designers, project managers, and others collaborate intensively on software projects, in this context for mobility solutions.

**Benefits:** Fostering joint innovations around sustainable urban mobility solutions with CAVs. Creating new markets and an open innovation culture in your city.

**Challenges:** Agreeing on open data strategy with all involved stakeholders. Cost-benefit analysis of data-based or digital mobility solutions compared to physical infrastructure investments.

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**Open innovation with hackathons**

![Advert for the BVG (Berliner Verkehrsbetriebe / Berlin Public Transport Company) Urban Mobility Hackathon 2018; Hackathon.com © 2018](image)

Figure 3: Advert for the BVG (Berliner Verkehrsbetriebe / Berlin Public Transport Company) Urban Mobility Hackathon 2018; Hackathon.com © 2018

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1.7.2 **Conduct staff training on C-ITS and connectivity, e.g. ITS Capital training.**

Staff for planning and managing mobility in cities will have to develop new skills and competencies regarding data handling and analysis, modeling and impact assessment of automated road transport. More and more new technologies available for deployment in supporting traffic management, e.g. C-ITS, will become available and authorities and cities need to ensure that technical capacities are up to the level to be capable to use new tools and deploy state-of-the-art measures.

**Benefits:** Skills and competencies development for sustainable deployment of new AV-related mobility solutions.

Commented [SH10]: It needs to be explained why training on C-ITS and connectivity is needed and for what, e.g. for traffic management.
Challenges: Lack of further training offers and structured competence development plans.

The main aim of the CAPITAL project is to create a collaborative capacity building community and deployment programme to support public and private stakeholders implementing cooperative and Intelligent Transport Systems (ITS & C-ITS) with training and educational resources, while also raising awareness of the services and benefits available. Over nine e-learning courses are available on [www.its-elearning.eu](http://www.its-elearning.eu).

Figure 4: Extract from the CAPITAL project website ([www.its-elearning.eu](http://www.its-elearning.eu))

### 1.8 Develop high level scenarios

#### 1.8.1 Lay out the different potential futures of the mobility in your city with AVs.

Drawing up the potential future mobility conditions in your cities considering different dimensions (e.g. in the Sweden example, the two dimensions are proactive planning and sharing) and comparing the benefits and threats against each other. Developing and illustrating possible future scenarios is a step towards understanding the potential benefits and drawbacks of introducing a certain technology into the transport system. Prioritising certain future scenarios can also be a guide on how to develop policies and pilots to reach that scenario.

**Benefits:** Create a common basis for impact assessments and develop further from ‘gut feelings’ towards an improved understanding of benefits based on connected and automated vehicle mobility solutions.

**Challenges:** Gathering input from various stakeholders on potential future scenarios and develop a holistic view of the potential impacts that could be brought by each scenario.

### 2 Planning for Automation-readiness

#### 2.1 Develop a vision and ensure commitment
2.1.1 Set a vision for your mobility ambitions with CAVs and ensure commitment for realising this vision.

Setting a vision clarifies the priorities that you will have as a city. Clearly addressing new mobility solutions based on CAVs does not just set a path to exploring new solutions in tackling current and future challenges in mobility. Having a vision also makes the ambitions of a city clearer to other stakeholders and potential independent developers interested in becoming part of the solution. Examples for identifying different potential governing scenarios of CAV rollout and prioritising scenarios that are desirable in relation to the sustainable mobility goals of a country are documented – inter alia – for Austria10, Sweden11 and Germany12.

An example of a city developing a vision for the future with CAVs is Milton Keynes, UK.13 Milton Keynes developed a Mobility Strategy as a reference point for how the town wishes to maintain, improve and develop its transport system up to 2036. It also shows how Milton Keynes wishes to begin investing in the short term in the development of the town’s long-term future transport system to 2050 to ensure connectivity to new infrastructure projects. The strategy includes the ambition to “develop and promote a ‘First Last Mile’ culture for future technologies such as autonomous and connected vehicles and sustainable connectivity”.

Vision for mobility with CAVs in cities – Mobility Strategy for Milton Keynes 2018 - 2036

10 http://www.smart-mobility.at/fileadmin/media_data/services/Thematisches/Actionplan_automated_driving.pdf
12 https://www.bmvi.de/SharedDocs/EN/publications/strategy-for-automated-and-connected-driving.pdf?__blob=publicationFile
After conducting a stakeholder engagement at the CIVITAS Forum 2017, it is suggested to update the CIVITAS FORUM Declaration and include new forms of mobility including connected and automated driving and shared mobility, in relation to achieving more sustainable mobility in cities.


In addition, different initiatives are happening across Europe to pave the way for sustainable urban mobility. To strengthen the impact, major initiatives that call for widespread coordination have been launched such as the CIVITAS Declaration (supports ambitious European cities in introducing and testing ambitious and innovative measures to improve urban transport) and the Declaration of Amsterdam\(^{14}\) to work towards a more coordinated approach enabling the introduction of connected and automated driving. The goal here is to harvest the great potential offered by connected and automated vehicle technologies in improving road safety, traffic flows and the overall efficiency and environmental performance of the transport system. Such declarations of commitment are strong direction-setting documents and agreements that develop an agenda for cooperation between the signatory countries or organisations.

**Benefits:** Setting a direction for involved stakeholders and showing commitment is important to understand the long-term mobility ambitions of a city.

**Challenges:** Agreeing on a common vision that is beneficial for all stakeholders involved.

\(^{14}\) On 14 April 2016 at the Informal Transport and Environment Council in Amsterdam, 28 EU Ministers of Transport endorsed the Declaration of Amsterdam: https://www.regjeringen.no/contentassets/b07abe420e1459baa7795676ff58d14/2016-04-08-declaration-of-amsterdam---final1400661.pdf
After conducting a stakeholder engagement at the CIVITAS Forum 2017, it is suggested to update the CIVITAS FORUM Declaration and include new forms of mobility including connected and automated driving and shared mobility, in relation to achieving more sustainable mobility in cities.

Further details CIVITAS: http://civitas.eu/cities

### 2.2 Integrate mobility solutions with CAVs in SUMP/strategic transport plan

#### 2.2.1 Integrate the lessons from the automation awareness measures, the set vision, and goals, into a sustainable urban mobility plan (SUMP) or strategic transport plan.

The plan represents a blueprint for the measures that are to be deployed in the coming years. The plan needs to be flexible to accommodate potential technological changes. However, planning for sustainable urban mobility solutions should follow the main SUMP elements, e.g. setting an overall strategy in relation to the city’s priorities, stakeholder engagement, integration of all modes and monitoring and evaluation. In this era of disruptive technologies, it is important that cities are sufficiently prepared to deal with ever-changing mobility challenges and concurrently be able to gain the benefits brought by new technologies. Plans including CAV-based mobility solutions are – of course – not explicit at this early stage, but good examples of city plans include one from Seattle, documented in Driverless Seattle (2017), and the London case in the London Assembly (2018) document. These examples provide a...
general overview of how these cities intend to tackle the coming challenges brought by connected and automated vehicles.

To embed sustainable mobility goals based on CAVs (before the actual CAV rollout), cities should re-evaluate existing urban mobility goals. In the advent of ever changing technologies, it is important to have mobility goals that are not conflicting with the ideas to integrate disruptive technologies. Integrating CAV-related mobility goals into existing mobility goals for your city helps to have tangible objectives to achieve higher mobility ambitions. Such goals can often serve as performance indicators about the progress of mobility development if they are objective, tangible, and measurable.

**Benefits:** Following the SUMP elements and processes guides cities for implementing sound measures to support sustainable mobility solutions with CAVs in their cities.

**Challenges:** Developing a comprehensive plan that addresses all critical issues and lays out potential impacts of the planned mobility solutions with CAVs.
2.3 Update transport models

2.3.1 Update the baseline transport models and analyse use cases.

Updating your transport model helps better understand the benefits or disbenefits of potential measures that are being considered for implementation. Transport modelling and traffic simulation enables assessment based on forecasting possible changes in the future and helps streamline by removing undesirable measures. Models can be used to estimate changes in demand and capacity, and results can be extracted from the models to assess impacts on traffic performance, space efficiency, and safety.

In CoEXist the following use cases will be analysed: 1) Gothenburg: capacity requirements during construction, reorganisation of shared space; 2) Helmond: intersection capacity; 3) Milton Keynes:
This project has received funding from the European Union’s Horizon 2020 research and innovation programme under grant agreement No. 723201.

waiting (pick-up) and drop off zones; 4) Stuttgart: new shared services. On the CoEXist website several deliverables are available that explain how transport models can be updated to include CAVs.

Finally, sustainable mobility measures based on CAVs could be selected that have been tested in models and simulations and agreed upon during stakeholder consultations.

**Benefits:** Better forecasting based on simulations allowing cities to have an enhanced understanding of the impacts of alternative future scenarios with CAVs.

**Challenges:** Updating transport models is a time-intensive activity becoming more and more complex and detailed. If there is no base model in place, the biggest challenge would then be, to develop such a model first.

### 3 Automation-ready Measures Implementation

#### 3.1 Institutional adjustments

**3.1.1 Implementation of institutional changes that have been planned for its feasibility in the development of the automation-ready strategic transport plan.**

Institutional adjustments are expected to happen in the future due to the changes that are likely to happen in relation to the increased deployment of intelligent transport systems, CAVs and MaaS services within the transport network of cities. This can be within institutions or agencies or maybe an entirely new department. This will heavily depend on the nature of the policies and regulations and the measures that are adopted in relation to connected and automated vehicles. For example, if CAVs are to operate as fleets controlled by operators, perhaps a new setup for traffic management units would be required. Government agencies could also be needing internal information and technology departments to deal with technical communications necessary with such traffic management or control units.

#### 3.2 Infrastructure adjustments

**3.2.1 Define infrastructure adjustments that are necessary to make mobility equitable and safer for all modes.**

Infrastructure adjustments, whether physical or digital, may be necessary at higher penetration rates of CAVs in order to improve traffic efficiency and safety for all modes in the transport network. Specific infrastructure adjustments need to be made in accordance with the mobility requirements in the different heterogeneous sections of the network. For instance, there might be changes needed for transition zones, where vehicles have to shift from an automated to manual mode. Transport models can test the necessary infrastructure adjustments that are needed to make transport networks more efficient and, in many cases, to make mobility safer for all modes. An AV-ready modelling environment will support sound decision-making (see also measure 2.3.1.).
3.3 Collective mobility services

3.3.1 Encourage collective mobility services and integrate it with public transit and other shared services, including bikes and scooters.

In the future, services will be more integrated and multimodal. It is important that despite the comfort of CAVs, collective high occupancy shared mobility services should be encouraged and become the priority over privately owned or single occupancy CAVs to reach sustainable urban mobility goals in cities. New multimodal collective mobility services should also be subsidised as early as possible, if costs are to remain competitive, but this will mostly be determined by the business models that are to come in conjunction with such services.

As already described in chapter 1.2, the UITP Policy Brief on ‘Autonomous vehicles: a potential game changer’ clearly sets out that cities need to support collective mobility solutions for CAVs and a culture of sharing to avoid an uncontrolled deployment of CAVs leading to single occupancy or empty CAVs in city centres in the future.

3.4 Policy measures

3.4.1 In line with the infrastructure adjustments and new mobility services deployed, specific policy measures for automated services are needed.

Authorities need to develop new regulatory frameworks to lead the transition to the new mobility era of sustainable and interconnected mobility with CAVs. New policies need to be adaptive and anticipatory, and based on a balanced governance. These could include pricing of empty runs, occupancy-based pricing of services, etc. Authorities need to (re)assess and monitor the necessary characteristics and requirements of regulatory schemes and policies to accommodate new mobility services with CAVs while meeting cities’ economic, political and social ambitions. These policies will highly depend on the technological maturity in the next 15-20 years (or even more) and also on the economic conditions of the city and country. The H2020 project GECKO will investigate these challenges.

Benefits: Help to encourage sustainable modes of transport through consideration of new forms of mobility and to control undesired mobility developments in cities.

Challenges: Involvement of the necessary stakeholders and compromising of the different needs of the stakeholders in the development of mobility policies that are primarily for the benefit of the mobility of people in cities.

4 Acknowledgement
The recommendations provided in this framework are based on a series of stakeholder engagement events with representatives and experts from local authorities, regional authorities, research institutes, consultancies, car manufacturers, and other urban transport stakeholders. All aspects of the automation-ready framework will be extended and further developed and tested with the four CoEXist partner cities and with an extensive list of urban transport stakeholders in order to make it as practical and applicable as possible within the urban mobility sector. In the first version of the framework, the recommendations are mainly focused on the actions cities can carry out today. In the second/final version of the framework, concrete standardised recommendations will be developed based on the impacts that are to be analysed within the eight use cases in four different European cities that are going to be studied in CoEXist.

Also, specifically, we would like to express our gratitude to all CoEXist consortium partners and the following people for their contributions in the first CoEXist results presented in this automation-ready framework: Frank van den Bosch, Mikael Ivari, Lina Svensson, Nina Galligani Vardheim, Brian Matthews, Ammar Anwar, John Miles, Gisa Gaietto, Charlotte Flèchon, Peter Sukennik, Alexander Dahl, Suzanne Hoadley, Nadège Faul, Thierry Goger, and Adriano Alessandrini.

As part of developing this automation-ready framework, CoEXist held workshops during the CIVITAS Forum 2017 and in a joint workshop with the H2020 MAVEN and TransAID projects on the implications of vehicle automation on urban roads. We would like to extend our gratitude to the 70 experts who were in attendance at the two workshops, with attendees from local authorities, regional authorities, research institutes, consultancies, car manufacturers, and other urban transport stakeholders.
Partners